091-2515

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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Information D. Derivet Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq Stock Market LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

Actively Managed Portfolio of Securities

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based Not Applicable

- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: CME Group, LME
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

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Title:

Vice President

Securities and Exchange Commission

Telephone Number:

Trading and Markets

+1, 301,978,088 Manyia Signature of Official Responsible for Form:

JUL 272018

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Securities Exchange Act of 1934

Date: July 24, 2018

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SEC 2449 (6-01)

Public Availability JUL 27 2018